

January 10, 2024

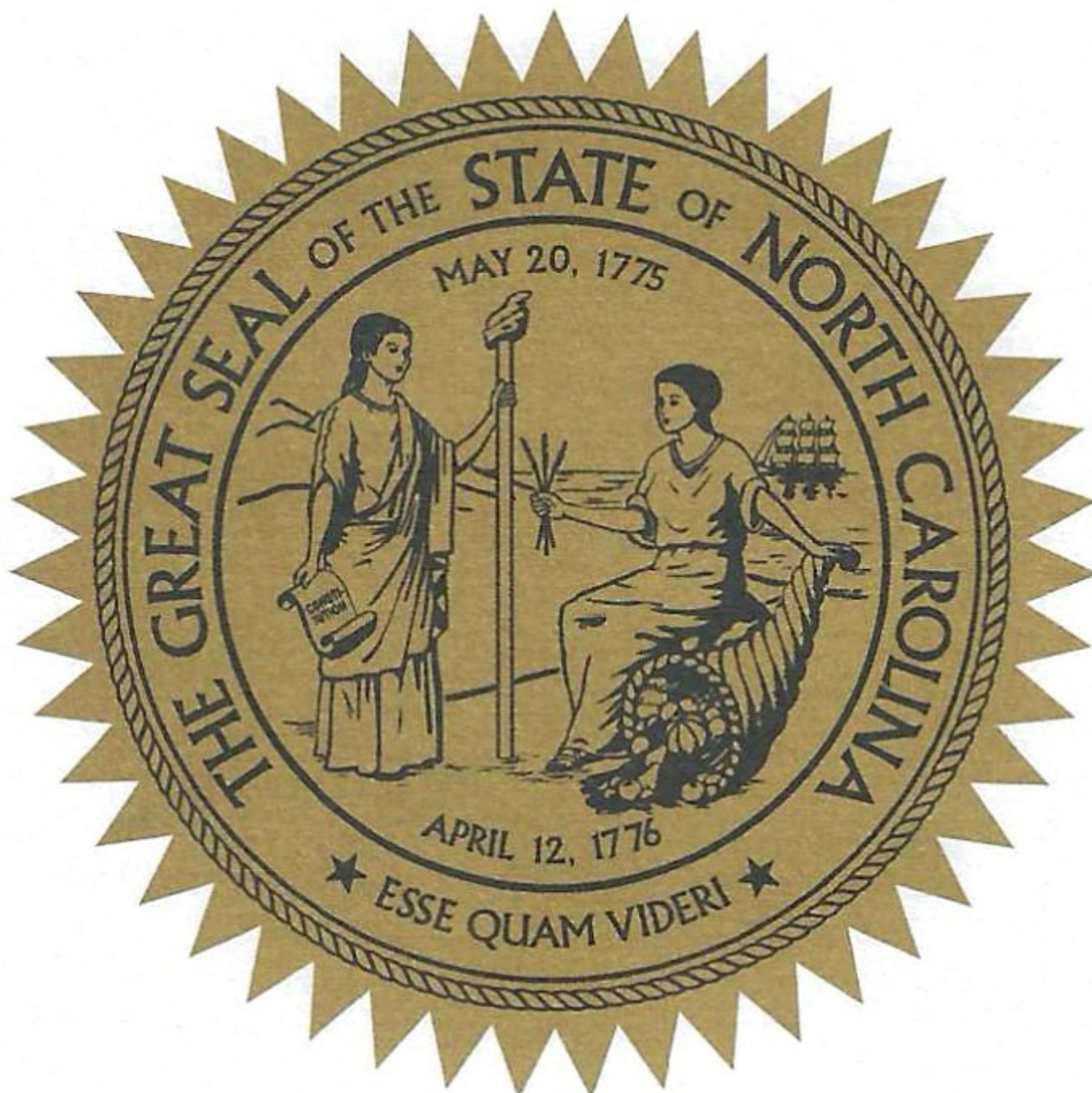
Ian Tucker Management LLC
d/b/a Grafton Funding
5917 Appomattox, Dr
Wilmington, NC 28409

NORTH CAROLINA LOAN BROKER FILING
Registration #1035

Dear Sir/Madam:

This is to acknowledge receipt of the loan broker renewal filing for **Ian Tucker Management LLC d/b/a Grafton Funding** on January 10, 2024. A copy of this statement has been forwarded to the Consumer Protection Section of the Department of Justice, which acts as the enforcement agency.

Registration shall be effective for a period of one year. Registration shall be renewed annually by the filing of a form prescribed by the Secretary of State for such purpose. The registration application or the renewal application shall automatically become effective upon the expiration of seven business days following the filing with the Secretary of State.



Elaine J. Marshall

Secretary of State

DISCLOSURES REQUIRED BY NORTH CAROLINA LAW

The State of North Carolina has not reviewed and does not approve, recommend, endorse or sponsor any loan brokerage contract. The information contained in this disclosure has not been verified by the State. If you have any questions see an attorney before you sign a contract or agreement.

Dear Client.

This letter is intended to provide disclosures, required by the State of North Carolina, in a simple and legible manner. It is our intention to be as transparent as possible, providing you with information you need to make the best decision for your business.

Name of Broker

Ian Tucker Management LLC is Texas LLC, operating since 2016. The company also does business as Grafton Funding.

Officers

The only officer of the company, and the only person responsible for day-to-day activities, is the President & CEO, Ian Tucker, with an address at 5917 Appomattox Dr, Wilmington, NC 28409.

Length of Time Operating As A Broker

Ian Tucker Management LLC has been operating as a loan broker since March 2020, but has been only been operating in North Carolina since December 2022.

Total Brokerage Contracts

Over the past 12 months as a loan broker in the State of North Carolina, we have entered into loan brokerage contracts for fifty three clients.

Total Brokerage Financings

Over the past 12 months as a loan broker in the State of North Carolina, we have secured loans for fifty three clients within North Carolina.

Financial Statement

As required, we have included a copy of our recent financial statement. You can find that attached as Exhibit A.

Broker Services

Grafton Funding is a brokerage that connects small business owners and property investors with funding providers for business and property investors.

Interested borrowers may visit our website (<https://www.graftonfunding.com>), or call/email us, and complete a verbal or written questionnaire. Borrowers may request a range of financing products, including business term loans, SBA loans, equipment leasing, merchant cash advances and real estate loans.

Once a borrower completed a questionnaire, we connect the borrower to a lender that matches their financing needs and qualifications. The borrower may then choose to submit formal applications to secure financing offers. Throughout this process, Grafton Funding works with each borrower to assemble necessary documentation, complete required forms, and will endeavor to keep the clients informed with fair, transparent information about available financing options.

No honest broker can guarantee that a borrower will receive a loan, and we do not do so here. As part of our agreement and Terms of Service with a borrower, we agree to make reasonable efforts to secure offers for financing products or loans from lender partners within the Grafton Funding.

Payment

This service is free to you. We do not charge borrowers to use the Grafton Funding service. If you secure a loan offer and decide to accept, your lender will pay us a variable origination fee of between 1-10% of the size of the loan. If you decide to renew with your lender and/or to finance additional projects, we may earn the same fee on any additional loan products.

Surety Bond

As required by North Carolina law, Grafton Funding has secured a bond in the amount of \$10,000 by SureTec Insurance Company, a surety authorized to do business in this State. Before signing a contract with this loan broker, you should check with the surety company to determine the bond's current status.

Thank you for using Grafton Funding. Please reach out to us at info@graftonfunding.com if you have any concerns.

Sincerely,

Grafton Funding Team
info@graftonfunding.com

EXHIBIT A

Ian Tucker Management LLC Balance Sheet (Unaudited)
as of November 30th, 2023

Assets

Total Assets \$48,050

Liabilities

Total Liabilities \$1,276

Equity

Total Owners Equity \$46,774

Total Liabilities & Equity \$48,050